

SOCIAL COMPLIANCE **CAPABILITIES**



GLOBAL EXPERTISE IN SOCIAL COMPLIANCE



- **Over 20 years of history in Responsible Sourcing** - Accreditation to most major Responsible Sourcing Standards and Initiatives
- **Supported inception of WRAP, Amfori (BSCI) and SEDEX** Founding Member and serving on the Executive Board of the Association of Professional Social Compliance Auditors (APSCA)
- **Intertek SAI Global works with 100 Fortune 500 companies, managing 200+ clients across over 250 compliance programs**
- Our team of Subject Matter Experts have successfully managed **outsourced programs since 2004**
- **Supporting our clients from program design to implementation,** reporting to continuous improvement, training to capability building, data mining and analytics
- **SMETA Audit Market Leader** 15% market share more than the second leading provider - ~6,000 SMETA audits per annum
- Intertek SAI Global has led the Responsible Sourcing Community **with our Ethical Sourcing Forum for 19 years**
- Intertek SAI Global-owned social compliance audit program - **Workplace Conditions Assessment (WCA) used by more than 100 brands worldwide**

OUR SOCIAL COMPLIANCE MEMBERSHIP & CREDENTIALS

Intertek SAI Global is a founding member of the Association of Professional Social Compliance Auditors.





OUR SOCIAL COMPLIANCE SOLUTIONS

Corporate Social Responsibility Auditing

Intertek SAI Global's Workplace Conditions Assessment (WCA) program is a powerful, web-based social compliance audit solution for companies and facilities seeking to improve workplace conditions efficiently, and under widely accepted industry standards and best practices.

Our flexible and informative audit program, built upon the International Labour Conventions, incorporates comprehensive social compliance criteria, processes, and outcomes, delivered via our global APSCA Certified Social Compliance Auditor network.

Our Inlight™ platform visualises the audit data and empowers organisations to better understand supply chain risk and protect their brand. This customisable assurance platform uses supplier data to support global companies that require trusted information about the capabilities and compliance of their suppliers, helping identify risks to their organisations.

Our Supply Chain Assurance solutions include:

- WCA 3.0 Full
- WCA Quick-scan
- WCA Human Rights Focus
- Business Practices & Integrity Modules
- Inherent Risk and Supplier Profiling
- Multiple Compliance Topic Modules
- Verification and Corrective Action
- Audit and Remediation Supply Chain Mapping
- Training & Capacity Building



Training

Our global network of experts ensures you, your stakeholders and your organisation are equipped with the vital training and up-to-date Social Compliance knowledge you need to drive your business forward. Our training courses include:

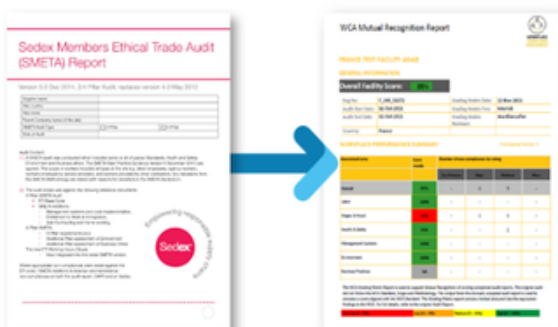
- Corporate Social Responsibility and Human Rights in the Supply Chain
- Introduction to the Social Compliance Audit Process
- Effective Social Compliance Management Systems
- Modern Slavery Awareness Workshops
- And many more

Report Conversions & Mutual Acceptance

Leveraging Intertek SAI Global's years of relevant industry experience in designing and converting audit programs, we have the technical expertise to guide you in setting up an Industry Program Re-Grading and Conversion Framework based on the principles of Audit Report Mutual Acceptance, standardisation and benchmarking requirements across multiple Audit Programs.

How it works:

- Program a scoring mechanism consistent with Intertek SAI Global's WCA program grading standards
- Enter all industry program audit results from any provider for system re-grade
- Benchmarking with WCA scoring allows for performance analysis across the entire supply chain



Outsourced Services

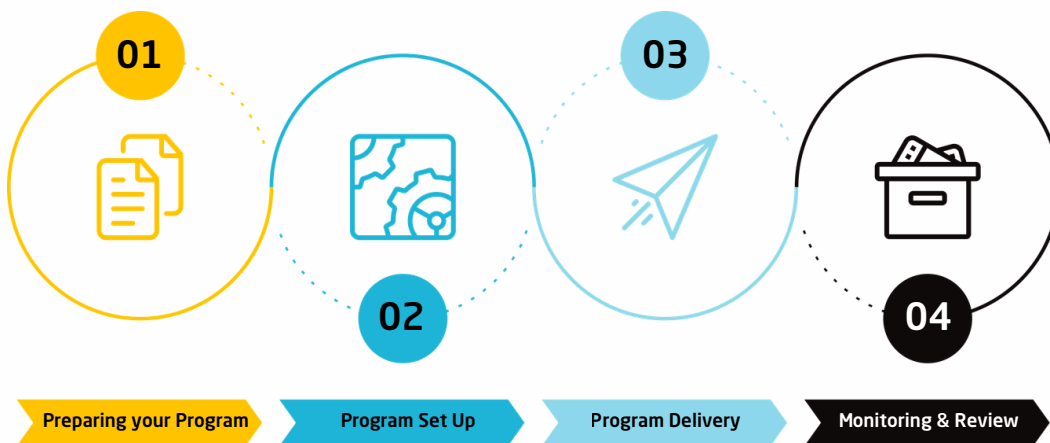
At Intertek SAI Global, we understand companies must be ever more agile in ensuring complete understanding and managing supply chain risks.

Since 2004, we have demonstrated outstanding performance in supporting our customers' various compliance programs by offering flexible Outsourced Programme Management solutions, allowing our customers to focus on additional areas of risk opportunity on a broader scale.

OUR PARTNERSHIP APPROACH

Intertek SAI Global nurtures collaboration through a partnership approach, which is structured to provide integration and consistent service delivery across various levels of client engagement. Our model includes dedicated roles such as the Global Program Manager, Account Manager, Local Audit Manager, and Local Coordination support services, ensuring each client's experience is based on a tailored and effective solution.

Through this structure, Intertek SAI Global partners with our clients at every step, ensuring that from global strategy to local implementation, each phase of service delivery is handled with utmost precision and aligned with client goals. Our approach is about managing audits and compliance and fostering a partnership that adapts and evolves according to our client's dynamic needs, ensuring lasting success and continuous improvement.



Intertek SAI Global's Social Compliance Program Advisory and Support Services are designed to strengthen supplier relationships and ensure rigorous compliance through a structured process. This approach commences with development of strategic and educational frameworks in the 'Program Advisory' and 'Program Workshop' phases, ensuring all stakeholders are aligned, informed and focused on a continuous improvement approach that ensures your compliance programs are reviewed, adjusted and improved.

Intertek SAI Global collaborates with our clients to communicate standards and expectations directly with suppliers to support supplier engagement and foster transparency and preparedness. The Engagement Scoping phase allows us to tailor our approach by identifying specific needs and goals, leading to Supplier Engagement, where we deepen our collaborative efforts. Every step - from initial strategy to post-audit adjustments- aligns with our client's success.

CASE STUDY: ENHANCING SUPPLY CHAIN SOCIAL COMPLIANCE & VISIBILITY THROUGH STRATEGIC PARTNERSHIP

Background

A leading global Mining corporation faced significant challenges in managing supply chain risks and ensuring compliance with modern slavery regulations.

The organisation wanted to gain clear insights into supply chain risks and prioritise suppliers for compliance activities, such as Self-Assessment Questionnaires (SAQs), Verification, on-site compliance audits, Corrective Action Plan (CAP) management, and remediation strategies.

They also wanted to ensure their modern slavery report demonstrated their commitment, identification and assessment of risk, key actions, monitoring and evaluation processes to address modern slavery within its large and complex supply chain to stakeholders and the market.

Solution

To address these challenges and objectives, the company implemented the Inlight solution giving them a centralised tool designed to provide transparency and actionable insights and facilitate reporting on supply chain risks. Key components of the program included:

- Program Advisory: Ensuring their social compliance program was established, with the benefit of Intertek SAI Global's experience, in alignment with their core objectives related to risk management, assessment criteria, verification methods, program process flow and requirements, coordination, audit procedures and risk decision matrixes.
- Supplier Self-Assessment Questionnaires (SAQ): Used by our client as a pre-screening tool for assessing suppliers' modern slavery compliance, particularly during the supplier on-boarding stage.
- SAQ Verification: Desktop assessments conducted by qualified auditors to evaluate the SAQ responses and assign risk ratings to suppliers.
- Supplier Pre-Audit Engagement: We work with our client on supplier engagement to communicate the audit process, enhancing supplier preparedness and compliance.
- Intertek SAI Global Workplace Conditions Assessment – Human Rights (WCA): Comprehensive on-site supplier audits focused on human rights practices.



- Corrective Action Plan (CAP) Management: Ensuring continuous improvement through systematically managing suppliers' corrective actions.
- Dedicated Client Management and Support: Intertek SAI Global manages the clients social compliance program centrally, providing technical expertise and regular interaction through workshops and meetings with relationship managers and Subject Matter Experts (SMEs).

Outcomes

This strategic initiative strengthened the client's ability to manage supply chain risks and enhanced their reputation by demonstrating a proactive approach to modern slavery due diligence. The partnership with Intertek SAI Global provided the necessary expertise and infrastructure to achieve a robust and transparent compliance program, positioning the client as a leader in ethical supply chain management.

- Streamlined Supplier Onboarding: Suppliers are quickly onboarded with instant visibility on their inherent risk ratings based on country, spending, and industry criteria.
- Enhanced & Informed Supplier Assessment: The distribution of SAQs and subsequent verifications enable the client to efficiently assess and prioritise suppliers based on their risk profiles.
- Informed Decision-Making: The program's ability to generate detailed reports facilitates informed decision-making by the senior management team, particularly in identifying suppliers for on-site audits.
- Cost Efficiency: The program significantly reduced costs associated with compliance audits by targeting suppliers based on pre-screening data rather than a non-specific approach.

About Intertek SAI Global

At Intertek SAI Global, we understand the organisational challenges of building stakeholder trust and confidence at all stages of maturity. We work with organisations to help them meet stakeholder expectations for quality, safety, sustainability, integrity and desirability in any market and industry worldwide, while embedding a critical risk-based thinking and a continuous improvement culture.

Intertek SAI Global has offices in 21 countries and services clients globally, delivering more than 130,000 audits and training more than 100,000 students through its Assurance Learning courses each year.

Our services include:

- Audit and Inspection – An accredited certification body with respected and independent expert auditors.
- Learning and Training – Extensive range of accredited courses to support career advancement, career change or enhanced industry expertise
- Product Certification – Third-party certification against known standards for product conformance
- Business Advisory – An independent team to support business improvement and control, including your supply chains

Contact Us

For more information, or to find out how Intertek SAI Global can support your organisation, visit www.saiassurance.com.au